



Administered by:  
Lockton Risk Services, Inc.  
1-866-871-4996

## ENTERTAINMENT SUPPLEMENTAL APPLICATION

**NOTE:** For purposes of this Supplemental Application, the term "Entertainment" includes any past or present client, project, matter, or transaction that involves any of the following: movies or the motion picture industry; television; radio; theater; performing arts; music industry; musicians or musical performers; journalism; publishing; professional, amateur, or Olympic sports athletes or coaches; or any kind of celebrity or person who is known to the general public.

**APPLICANT'S INSTRUCTIONS:**

This form is to be completed if the Applicant firm provided a percentage in the main application, Section II, Firm's Practice, Question 1.a., that would require completion of this supplemental application. If space is insufficient to answer any question fully, attach a separate sheet. Answer all questions completely.

**APPLICANT FIRM:**

1. a. Approximately how many different "Entertainment" clients does the Applicant firm currently have?  
b. Which "Entertainment" industries are those clients predominately in?
2. Please give a brief general overview of the legal services provided during the past two (2) years to the firm's "Entertainment" clients:
3. Please list the names of any "Entertainment" clients the firm has represented or provided legal services to at any time during the past two (2) years, whose names would be known to the general public. If none, please state "None."
4. During the past two (2) years, has the firm ever done any of the following for any past or present "Entertainment" client, project, matter, or transaction? Please check either "Yes or No" for each question. If "Yes" to any part of Question 4. below, please attach complete details on a separate sheet.
  - a. Represented or provided any legal service or advice to more than one party or client, relative to the same basic "Entertainment" matter or transaction?  Yes  No
  - b. Acted as an intermediary for the purpose of introducing, or of facilitating business or contract negotiations between two or more different clients of the firm?  Yes  No
  - c. Been directly or indirectly involved financially in any business, investment, or project financing matter with any of the firm's "Entertainment" clients?  Yes  No
  - d. Made, or recommended that the client make, any particular financial or business investment?  Yes  No
  - e. Rendered any kind of tax advice?  Yes  No
  - f. Had custody or control at any time of any funds belonging to one of the firm's "Entertainment" clients?  Yes  No
  - g. Been involved in making, or arranging for, the capitalizing or financing of the production or distribution of any motion picture or other "Entertainment" project or venture?  Yes  No
  - h. Acted as a sports agent for, or negotiated any kind of contract on behalf of, any professional, amateur or Olympic sports athlete or coach?  Yes  No
  - i. Negotiated any terms of any personal appearance, merchandising, publishing, licensing, royalty, or franchising agreement on behalf of any "Entertainment" client?  Yes  No
  - j. Rendered any advice or opinion relative to clearance of rights issues or licensing matters?  Yes  No

5. During the past two (2) years, has the Applicant firm, or anyone associated with the firm at any time, done either of the following:

a. Represented in any way, or provided any kind of legal service or advice, or any other service or advice, to any kind of past or present "Entertainment" client, project, or venture that has gone insolvent, gone into liquidation, trusteeship or receivership, or has gone into any kind of voluntary or involuntary bankruptcy proceeding?  Yes  No

b. Been named as a defendant in any kind of civil litigation which is in any way related to any past or present "Entertainment" client or project, or venture?  Yes  No

If "Yes" to any part of Question 5. above, please attach complete details on a separate sheet.

The undersigned understands the information submitted herein becomes a part of the Applicant firm's Professional Liability Insurance Application and is subject to the same warranty and conditions.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act.

\_\_\_\_\_  
Signature of Owner, Officer or Partner

\_\_\_\_\_  
Print or Type Name and Title

\_\_\_\_\_  
Date (month-day-year)